

ANNUAL REPORT



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ABBREVIATION

ACTSAfrican Centre for Technology StudiesBoDBoard of DirectorsCAEWMPSClimate Adaptive Equitable Water Management Practices and StrategiesCAMPSClimate Adaptive Water Management Plans for Cities in South AsiaCARPConstruction of Climate Adaptive Recharge Pit (CARP)CBSCentral Bureau of StatisticsCCMCCConflict and Cooperation in the Management of Climate ChangeCEDARCentre for Ecology, Development and ResearchCENClimate Extremes, Air Pollution and Agro-ecosystemsCINCCConflict and cooperation over REDD+CoEConflict, Violence and Environmental ChangeCOVECConflict, Violence and Environmental ChangeDDWUCDhulikhel Drinking Water and Sanitation Users CommitteeESPAEcosystem Services Poverty AlleviationFHIFuture Himalaya InstituteFNCCIFederation of Nepal Chamber of Commerce and IndustriesICIMODInternational Center for Integrated Mountain Development	ACCCRN	Asian Cities Climate Change Resilience Network
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ICIMOD International Center for Integrated Mountain Development	FHI	Future Himalaya Institute
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ICI EL Local Government for Sustainability	ICIMOD	International Center for Integrated Mountain Development
	ICLEI	Local Government for Sustainability
IDRC International Development Research Center	IDRC	International Development Research Center
IFSD Institute for Studies and Development Worldwide	IFSD	Institute for Studies and Development Worldwide

ISET-N	Institute of Social and Environmental Transition Nepal
IUCN	International Union for Conservation of Nature
LI-BIRD	Local Initiatives for Biodiversity, Research and Development
MoFAGA	Ministry of Federal Affairs and General Administration
MoFE	Ministry of Forest and Environment
MOU	Memorandum of Understanding
NASC	Nepal Administrative Staff College
NPRN	Nepal Policy Research Network
OPED	Opinion Editorial
RECOFTC	The Center for People and Forests
REDD	Reducing Emissions from Deforestation and Forest Degradation
REDEGN-II	Rethinking Environment and Development in an Era of Global Norms
RSAI	Regional Science Association International
SIAS	Southasia Institute of Advanced Studies
SLU	Swedish University of Agricultural Sciences
TISS	Tata Institute of Social Sciences
UNDP	United Nations Development Program
UNSW	University of New South Wales
WWF	World Wildlife Fund

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MESSAGE FROM THE CHAIRPERSON



The year 2017/18 has become a remarkable year for SIAS towards paving the way in the direction of meeting the goal of being recognized as an established think tank and becoming a 'Centre of Excellence'. We have done notable work for institutional development and strengthening in-house capacity, developing institutional policies, nurturing research capacity and enhancing engagement with wider range of policy actors, from professionals working for government, academics, intellectuals and researchers to local political representatives. We have updated our administrative and financial policy and developed policy for research ethics and publications. SIAS also nurtured new leadership to take the executive position and reformed management team as per the revised administrative policy.

This year, SIAS put substantive efforts in extending collaborations and developing new projects with research organizations and universities from Nepal and abroad. As a result, consortiums that SIAS is partner have won some competitive research grants that include grants from the Global Challenge Research Fund and the Swedish Research Council. We also extended our collaboration with domestic actors including local governments through active engagement in policy processes and undertaking activities to develop capacity, engaging with them for internalizing the evidence based policy making. SIAS has also worked with local governments and their associations for promoting the practice of more inclusive and deliberative policy making.

SIAS has been revisiting its strategic and thematic focus through the strategic plan for coming three years and I am sure the upcoming leadership will take this forward. I thank Ngamindra Dahal for providing leadership to such a dedicated and vibrant team of researchers. Role of Dil Khatri has been instrumental on strengthening research capacity and extending collaborations and I look forward for his more effective and efficient leadership for the years to come. I acknowledge the effort of Kamal Devkota, Rachana Upadhyaya, Suchita Shrestha and Kaustuv Neupane for putting together this report, which I hope will be useful for our collaborators, friends and well-wishers to know more about SIAS work.

At last but not the least, I would like to thank all in this journey and hope to get your continuous support in the future as well.

Netra Timsina, PhD Chairperson

MESSAGE FROM THE EXECUTIVE DIRECTOR



Dear Founders, members, friends, collaborators and well-wishers of SIAS, we are extremely happy to share with you our annual report, first time in this form, containing highlights of our business activities, achievements and financial health. Aspiring to become a center of excellence in policy research in South Asia, we are expanding rapidly in terms of business portfolios, professional competency and regional coverage through our growing number of collaborators and partners. SIAS as a non-for-profit company has been obliged to fulfill the legal compliances set by the Company Act as well as of Social Service Act 2034. Our core strength lies on our founders and friends, well-wishers, members, professional researchers and collaborating partners who have entrusted us with immense responsibilities and opportunities to learn and grow together. Innovation, transparent governance system, promotion of participatory, evidence based and informed dialogues for public policy processes and professional integrity have been the buzzwords of our mission.

I take this opportunity to thank our institutional partners, professional collaborators and researchers who offered their supports to make our journey productive and memorable. I would particularly like to mention support and cooperation of my in-house team members-Prof Roshan Man Bajracharya, Dr Chandra Lal Pandey, Dr Dil Khatri, Mr Kamal Devkota, Mr Kaustuv Raj Neupane, Ms Suchita Shrestha, Ms Gyanu Maskey, Ms Rachana Upadhyaya, Mr Satya Bajracharya, Ms Srijana Paudel, Mr Dipak Thapa, Mr Pema Lama, and Mr Sanjaya Khatri who worked tirelessly to achieve these successes.

Ngamindra Dahal Executive Director

SIAS AT A GLANCE

Southasia Institute of Advanced Studies (SIAS) is a research institute aspiring to become the 'Centre of Excellence' in South Asia led by a vibrant team of academics and researchers of national and international repute. It was established in 2011 as a platform for advanced research, policy engagement and scholarly exchange in the South Asia region. SIAS operates in the interface of research, policy and practice on the issues related to policy change, governance and environment. The scope of its research and engagements encompasses broad thematic areas of Local Governance, Natural Resource Governance and Resilience Building within which interdisciplinary subject are covered including ecosystem services, climate change adaptation and mitigation policies, urbanization, disaster

risk reduction, environmental justice, forestry and REDD+, hydropower and water security. It specializes in research, scholarly publications and seminar series in order to fill the critical gap in knowledge generation and capacity strengthening to address social and environmental challenges through informed decision making, implementing proven practice and formulating its policies. It aims to utilize its research as a state-of-the-art knowledge in the South Asian region and globally to understand, unravel and interpret unfolding social, political, economic and environmental dynamics. SIAS has been successful in rendering significant contributions to policy and political discourses through its democratic governance programs as Nepal moves through political transition in the recent years.

Legal Status	SIAS is a not-for-profit-making institution registered under the Company Act of Nepal. It enjoys rights conferred under the Company Act and other applicable laws, and will uphold to relevant laws of Nepal and, when appropriate and relevant, of other countries in the course of its engagements outside Nepal.
Vision	SIAS is recognized in Nepal, South Asia and globally as a rare Southern-based high quality research and capacity building organization, and has a significant reputation as a policy think tank.
Mission	SIAS's mission is to nurture, cultivate, organize and promote critical knowledge, research and scholarly learning in South Asia. Based in Kathmandu, it has currently been focusing its activities in Nepal, Northern India and Bangladesh, and intends to expand its geographical coverage in the region.
Governance	SIAS is governed by a board of directors elected by general members. It is a professionally managed group for delivering high quality research outcomes.



NATURAL RESOURCE MANAGEMENT

Natural resources that include forests, agricultural lands and water are key for the livelihoods of rural population in the South Asian region. Factors such as socioeconomic transformations, globalization processes and bio-physical stress in the ecosystem due to climate change have been posing new challenges to the governance and management of these resources. Challenges of governing natural resources are also related to equity and justice in the context of hierarchical social structure in this region. SIAS has been putting its efforts into developing a better understanding of these challenges and fostering equitable governance of natural resources with the aim of supporting livelihoods of poor people. Research and policy engagements have been focused on the key sectors of forest, agriculture and water management in Nepal and the region.

LOCAL GOVERNANCE

Bringing government closer to people has been the key political issue in this reason. While decentralization has been a widely used jargon, there have been challenges in practice. Decentralization in true sense assures representation of people in decision making process and delivering services and ultimately contributing to the prosperity at a local level. However, decentralization has been very limited. For example, in Nepal local governments remained without elected representatives for 15 years and operated through formal and informal coalitions between politicians and bureaucrats. The new constitution of Nepal promulgated in 2015 made provision of stronger local governments. After the election in 2017, there is a zeal to work among the newly elected representatives, and people have similar optimism towards the elected government. The new local governance system has opened up the tremendous opportunities for engaging with the elected local government that could contribute for the local prosperity. In this context, SIAS has been engaging in the local governance issues mainly through research and innovations and creating deliberative spaces for dialogues across the levels.

Resilience building

The South-Asia region has been experiencing rapid socio-economic and environmental changes. Climate change has posed new and multiple challenges on resource and disaster management. Urban areas in particular are facing unprecedented challenges due to rapid population growth, unplanned urbanization and environmental hazards. Communities, however, are not fully prepared to cope with the changes and risks. Hence, by generating knowledge on peoples' vulnerability to the new challenges and fostering collaboration for developing adaptive capacity of people, SIAS seeks to contribute towards local resilience building. The specific focuses are on understanding the dynamics of change and uncertainty, exploring the innovative ideas to respond to the change, and reducing vulnerability.



APPROACHES

SIAS aims to become a center of excellence in South Asia region in public policy and contribute on policy and practices towards sustainable environment and local livelihoods. SIAS reconizes collaborative approach on research and innovations, informed policy engagements, publications and capacity building as key approaches to realize its vision.

Research and innovation

SIAS contributes to generate the state-of-theart knowledge to understand, unravel and interpret unfolding social, political, economic and environmental dynamics with particular reference to the South Asian context. It has conducted several action research projects in collaboration with local stakeholders to bring innovation through research and action. It has also been conducting high quality policy oriented researches on a range of social, environmental and political issues. It collaborates with number of national and international research organizations, academic institutions including universities in Nepal and abroad.

POLICY ENGAGEMENT

As an emerging think-tank, SIAS has firm belief in the evidence based policy engagement. It makes efforts to engage in the policy processes from national to local levels bolstered by knowledge generated from research, stakeholder dialogue and reflection from the local practices. SIAS engages in the national level policy process through publication, dialogue, and policy document review whereas at local level, it directly makes recommendations to the local government representatives.

PUBLICATION

Science (knowledge and innovation) creates impacts on policy and practices towards sustainable resource management and improving peoples' livelihoods. For the science to generate impact, the knowledge and innovations needs to be made available to the wider society that includes policy makers, practitioners and academia. SIAS makes effort to publish a wide range of knowledge products such as research reports, policy briefs, media OPED, toolkits etc. It also contributes to the scientific knowledge system through publishing journal (New Angle) and writing journal articles.

CAPACITY BUILDING

SIAS aims to build strong communities of researchers and professionals dedicated towards inclusive and equitable resource governance and socio-economic transformation for better life of people. This requires building capacity of young researchers, professionals and representatives of governments at different levels. It has been mentoring researchers and professionals through on-the job training (internship), providing fellowships and delivering tailored made trainings. Further, it has also been providing services for developing capacity of government and non-government organizations on the broader themes of environmental governance, social justice and local governance.





NATURAL RESOURCES MANAGEMENT

SIAS has been making continued efforts towards inclusive and climate adaptive natural resource governance through research, stakeholder engagement, publication and capacity building. So far, SIAS has undertaken six projects focusing on natural resource management issues. CAMPS is the flagship project of SIAS that focuses on the issue of water governance. Within this project, SIAS has developed an innovative approach of dialogue at local level for inclusive water governance. Now, well-established local level water forum, "Paani Chautari", continues to be the flagship event for this year as well. Altogether 3 forums in two cities (Dharan and Dhulikhel) were organized to discuss on a range of subjects such as involvement of private sector in the water management, importance of recharge pit for groundwater recharge and urban flood control. We have started to see the impact of Pani Chuautari at the local level. For example,

Dharan muinicipality made it mandatory to construct recharge pit while building commercial and private houses. Similarly, Dhulikhel Municipality invested resources in constructing recharge pits in the catchment of its water sources.

SIAS has also contributed to the REDD+ strategy of Nepal through participation in various consultative meetings. Through the Conflict and cooperation over REDD+ in Mexico, Nepal and Vietnam (CoCOOR) project, SIAS has raised issues related to the conflicts in forestry and REDD+ to inform policy makers and other relevant stakeholders. Further, SIAS collaborated with University of Cambridge and University of Sheffield to initiate dialogue on "Developing an Environmentally-adjusted Index for Multidimensional Poverty".

SIAS is involved in different natural resource management related researches on the issue of water (CAMPS), forest and climate change (COVEC). In addition, SIAS made a unique attempt to document the views of local communities about REDD+, forest conservation and livelihoods through photovoice which has also helped to strengthen capacity of the local rural communities.

On capacity building, SIAS has been funding a PhD student and mentored eight masters students on the issue of resource governance.

LOCAL GOVERNANCE

SIAS has been involved in local governance issues mainly through research, dialogue, fellowship and capacity building activities. In this fiscal year, we further strengthened this pillar and developed democratic local governance program. This program aims to engage and contribute to institutionalizing the new local governance in Nepal through generating action-verified insights and innovations and inform the national, subnational and local policy processes.

Under this program, SIAS hosted two high level policy dialogues - one immediately after the local government election in May 2017 and another after one year of the election. These dialogues were focused on the contestation of power and authorities among different level of governments, the experiences of local government mainly on delivering the services and making policies. Second dialogue was informed by the rapid but analytically focused study commissioned by SIAS. The dialogue was attended by a wide range of stakeholders including the representatives from local government and its associations, federal ministries, civil societies, political parties, research and academic institutions, donor community, activists and media. A presentation from Ministry of Federal Affairs and General Administration (MOFAGA) on the ongoing policy framework further updated the participants. Three media OPEDs were

published in broadsheets and online medias. We plan to write a journal article drawing from the field study and our ongoing engagements with local governments.

Through these activities, SIAS has been taking stock of the key challenges and opportunities of local governments in Nepal. Further, SIAS facilitated an exposure visit of Nepalese senior government officials led by secretary of MoFAGA to Australia to learn from Australia's local governments. This visit has opened up the collaboration among MoFAGA, SIAS and Australian institutions for the mutual sharing and learning opportunities on two country's local governance system.

Resilience Building

Under another thematic area, 'Resilience Building of Local Communities', SIAS has been actively involved in research, policy engagement and promotion of local innovations to develop adaptive capacity of rural and urban communities. CAMPS project has a key focus on building urban resilience through inclusive and climate adaptive water governance in small towns. Deliberative platform such as 'Pani Chautari' and interventions on recharge ponds and water recharge pits have been contributing towards urban resilience. SIAS researchers have made further contribution on the issue by drafting the Nepal Country Report on urban resilience in collaboration with Mercy Corps Europe. SIAS has forged new partnership with universities in UK and development agencies in Nepal to develop a project on multi hazard urban disaster. SIAS has been part of number of platforms on climate change and resilience building such as the conference of Building climate resilience of flood prone area of eastern Terai by Ministry of Forest and Environment among other institutions in Itahari, Sunsari.

OTHER KEY ACHIEVEMENTS:

SIAS jointly hosted the South Asia level public policy conference, 'Governance in South Asia: Towards Justice and Prosperity' in Kathmandu led by Nepal Administrative Staff College (NASC). More than 300 scholars, academics, and practitioners from South Asia in the field of public policy and governance participated in the conference. SIAS researchers presented two papers and led the documentation process (developing conference proceeding).

SIAS continued its flagship journal New Angle: Nepal Journal of Social Science and Public Policiy. The special issue on *Understanding* the issues and agrarian reform in post conflict Nepal was published that included seven papers. Another special issues of New Angle is being planned with the theme *Water security*, governance and justice in the Himalaya under a changing climate.

In addition, SIAS has been collaborating with the Dhulikhel Municipality and providing technical inputs and advice on the issue of water management and strategic planning i.e. development of vision paper. Key achievements of this year are summarised in numbers in the table below.

International events hosted	5	Peer reviewed articles and book chapters	4
National events hosted	9	Reports and other publications	4
Local events hosted	4	Conference papers and posters	8
International forums participated	7	OPED/Blogs	9
National forums participated	8	Total projects	7
International collaborators	17	National and local collaborators	23
Note: Details of this table are provided in Anne	r		

Note: Details of this table are provided in Annex.





This year SIAS continued to engage its resources significantly in the research projects covering the themes: local governance and public policy; water security, climate change and resource governance; urbanization and disaster governance amongst others. The research findings were vital to generate evidence forming the basis for the policy discourse, reform and formulation. Participatory action research and stakeholder engagement were adapted as an important approach of the research study. Some highlighted projects are given below:

I. Climate Adaptive Water Management Plans for Cities in South Asia (CAMPS)

Project sites: Nepal: Dhulikhel and Dharan; India: Haldwani and Musoorie

Duration: 36 months (Starting from 1 April 2016)

Partners and Collaborators: Centre for Ecology, Development and Research (India); University of New South Wales (Australia), University of Sydney (Australia), Tata Institute of Social Sciences (India) and International Union for Conservation of Nature (Nepal) Brief Description of the Project: Climate Adaptive Water Management Plans for Cities in South Asia (CAMPS) is the action research project designed to investigate on urban water insecurity under the changing climate and increasing population in small to medium sized cities in Nepal and North India. Its overall goal is to generate lasting local level water management solutions and increase the resilience of small to medium-sized cities. It also aims to demonstrate governance and city-scale planning strategies and practices for adaptive and equitable management of urban water systems which can be up-scaled and replicated. It involves generating knowledge through research on various aspects of waterhydrology, climate change, governance, gender, economics and the private sector. It adopts inclusive, participatory and partnership approaches with key water-related city stakeholders in identifying city specific Climate Adaptive Equitable Water Management Practices and Strategies (CAEWMPS).

II. Conflict, Violence and Environmental Change: Investigating Resource Governance and Legitimacy in Transitional Societies (COVEC)

Project sites: Gorkha and Khotang districts Nepal

Duration: 36 Months (Starting from Sep 2016)

Partners and Collaborators: Swedish University of Agricultural Sciences (Sweden), Norwegian University of Life Sciences (Norway), African Centre for Technology Studies (Kenya), University of New South Wales (Australia)

Brief Description of the Project: The research tries to understand the tension that is created due to incompatibility of two different aspects i.e. the ambitions for managing forests and water resources to help address climate change and everyday livelihood needs for the poor. The program aims to enhance scientific understanding of how climate change produces concerns for legitimacy, conflict and violence in the governance of forests and water resources in politically transitioning and climate vulnerable societies of developing countries such as Nepal and Kenya. The work is motivated by deep concern over how the definitions and governance of environmental change appear to cause crises of legitimacy, conflict and violence, such that current responses exacerbate vulnerability rather than alleviate it. It seeks to understand how better research and development practice be informed intended to cope with environmental change, social inequalities and vulnerability, and contribute new scientific insights on the complex relationships between violence and environmental change.

III. Developing an Environmentallyadjusted Index for Multidimensional Poverty in Nepal

Duration: 4 Months (Starting from 1 April 2018)

Partners and Collaborators: University of Cambridge (UK), Universities of Sheffield (UK), UN Environment World Conservation Monitoring Centre, and International Institute for Sustainability in Brazil

Brief about the project: This project aims to contribute towards the study on the relationships between the natural environment and poverty. The work comprises of two key components:

(1) reviewing the conceptual and empirical basis for including the natural environment as a dimension of poverty and/or human wellbeing, and (2) assessing and developing ways of integrating the environment with multidimensional measures of poverty and wellbeing.

IV. Exposure Visit to Senior Bureaucrats for Better Implementation of Federalism and Local Governance in Nepal

Duration: 2 Months (Starting from 1 Aug 2017)

Partners and Collaborators: Nepal Academy Staff College (Nepal), University of New South Wales (Australia)

Brief about the project: The project intended to create enabling environment for the senior officials of Government of Nepal to visit Australia in order to get firsthand knowledge of federal governance systems and practices and draw on lessons that may contribute to effectively operationalize bureaucratic mechanism. After restructuring of local government structure, this short term visit contributed to provide the opportunity for



learning and getting insights on the most efficient, cooperative and cohesive governance system of Australia that helped guiding the representatives of government of Nepal led by the secretary of Ministry of Federal Affairs and General Administration. Through this visit, participants learnt state of art knowledge of Australian federal governance systems, practices and challenges that Nepal can draw on as lessons.

V. Conflict and Cooperation over REDD+ in Mexico, Nepal and Vietnam (CoCooR)

Project sites: Lamjung and Chitwan (Nepal)Duration: 48 months (Starting from Feb, 2014)

Partners and Collaborators: Southasia Institute of Advanced Studies (Nepal), University of East Anglia (UK), RECOFTC – The Center for People and Forests (Thailand), Institute of Cultural Studies (Vietnam), Winrock International (USA) and Instituto Nacional de Antropología e Historia (Mexico)

Brief description of the project: CoCooR sought to strengthen the evidence on the impacts of REDD+ on conflict and cooperation in developing countries through case studies in Mexico, Nepal and Vietnam. CoCooR examined local-level conflict and cooperation over forests in two REDD+ demonstration sites in each country in order to contribute to less conflicts related to REDD+ processes (as well as forest governance) and conflict transformation towards cooperation. This project has also tried

to understand and influence on forest-based climate change adaptation and mitigation actions as a key climate change policies.

VI. Climate Extremes, Air Pollution and Agro-ecosystems (CiXPAG)

Project sites: Nepal - Kathmandu, Chitwan, Kaski; India - Delhi, Varanasi and Haryana

Duration: 24 Months (Starting from 1 September 2015)

Partners and Collaborators: Centre for International Climate Change and Environmental Research (Norway), Swedish University of Agricultural Sciences (Sweden)

Brief description of the project: CiXPAG investigated the complex interactions between climate extremes, air pollution and agricultural ecosystems. Climate extremes (e.g., droughts, floods, heatwaves) and air pollution events often co-occur, causing substantial losses in agricultural productivity. This research project helped understand how climate and air pollution interact and its impacts on agricultural ecosystems. The novelty of this research is to develop new modeling techniques that integrate knowledge on changes in climate extremes and air pollution to assess effects on agricultural productivity.

Integration of farmers' knowledge will enable the results to be translated into agricultural adaptation options within the particular socioeconomic and political context.

VII. Rethinking Environment and Development in an Era of Global Norms: An Exploration of Forests and Water in Nepal, Sudan and Uganda (REDEGN-II)

Project sites: Nepal, Sudan and Uganda

Duration: 18 Months (Starting from January 2016)

Partners and Collaborators: University of East Anglia (UK)

Brief description of the project: This research employs an environmental justice lens to examine how the emergent global environment norms affect poverty alleviation in the Global South through explorations of forests as natural resource. It explores whether poor peoples' struggles regarding forests are taken up in environmental mobilizations and how they contribute to poverty alleviation. Further, it examines how global norms and international mobilisations transform at local level with local environmental struggles of marginalized people in the South.



SIAS has become part of a number of initiatives such as Nepal Policy Research Network (NPRN) and Community of Evaluators Nepal (CoE-Nepal).

NEPAL POLICY RESEARCH NETWORK

SIAS continued serving as the secretariat of Nepal Policy Research Network (NPRN). SIAS engages in and promotes policy research, dialogues and exchanges, and hosts the Nepal Policy Net web portal (please visit: www. nepalpolicynet.com) as a web repository of public policy knowledge in Nepal. The NPRN also publishes a journal New Angle: Nepal Journal of Social Science and Public Policy.

Community of Evaluators - CoE Nepal

SIAS has continued to serve as the secretariat of CoE-Nepal- a non-profit, non-governmental, and non-partisan organization. The team comprise of a pool of evaluators, development practitioners, and academicians with national and international work experiences. CoE Nepal is committed to increase the quality of evaluation by building the capacity of evaluation stakeholders and promoting the theory, practices, and use of evaluation in Nepal.



In 2017/2018, SIAS carried out major institutional development activities. The administrative and financial policy was revised with a provision of a stronger management committee for decision making. The management Committee has been reformed with five members. Further, administrative policy and rules around authorship was developed and enforced through approval from the BoD. The Gender and Social Inclusion Policy is under discussion.



SIAS has sustained and expanded its collaboration with international, national and sub-national level organizations. The following table illustrates the update of partnership building during this year.

International Organizations	National Organizations	Sub National/Local Organizations
1. African Centre for Technology Studies (Kenya)	1. Central Bureau of Statistics	1. Dharan Sub Metropolitan City
2. CAMVOL, UK	2. Central Campus of Technology, Dharan	2. Dhulikhel Chamber of Commerce and Industries
3. Centre for Ecology, Development and Research (India)	3. Federation of Nepal Chamber of Commerce and Industries	 Dhulikhel Drinking Water and Sanitation Users Committee
4. Centre for International Climate and Environmental Research (Norway)	4. Forest Action Nepal	4. Dhulikhel Municipality
5. Institute for Studies and Development Worldwide (Australia)	5. Future Himalaya Institute	 Ministry of Agriculture and Land management – Province no 1
6. Institute of Cultural Studies (Vietnam)	 Institute of Social and Environmental Transition Nepal 	6. Regional Hotel Association of Kavre
7. Instituto Nacional de Antropología e Historia (Mexico)	7. International Union for Conservation of Nature (IUCN)	
8. Norwegian University of Life Sciences (Norway)	8. Local Initiatives for Biodiversity, Research and Development (LI-BIRD)	

International Organizations	National Organizations	Sub National/Local Organizations
9. RECOFTC – Centre for People and Forest (Thailand)	9. Ministry of Agriculture and Livestock Development	
10. Swedish University of Agricultural Sciences (Sweden)	10. Ministry of Federal Affairs and General Administration	
11. Tata Institute of Social Sciences (India)	11. Ministry of Forest and Environment	
12. University of Cambridge (UK)	12. Nepal Administrative Staff College	
13. University of East Anglia (UK)	13. Niti Foundation	
14. University of New South Wales (Australia)	14. SMART Paani	
15. University of Sheffield (UK)	15. The Small Earth Nepal	
16. University of Sydney (Australia)	16. Water and Energy Commission Secretariat	
17. Winrock International (USA)		



FINANCIAL HIGHLIGHTS

SOUTHASIA INSTITUTE OF ADVANCED STUDIES (SIAS) KATHMANDU, NEPAL

BALANCE SHEET

AS AT 32 ASHAD, 2075 (16 JULY, 2018)

PARTICULARS	SCHEDULE	CURRENT YEAR (RS.)	PREVIOUS YEAR (RS.)
CAPITAL AND LIABILITIES			
CAPITAL FUND	-		
CAPITAL FUND	1	175,000.00	175,000.00
RESERVE & SURPLUS	1A	609,087.62	590,281.56
TOTAL		784,087.62	765,281.56
MEDIUM AND LONG TERM LOANS			
SECURED		· · ·	-
UNSECURED		·	-
TOTAL		-	-
GRAND TOTAL		784,087.62	765,281.56
ASSETS			
NON CURRENT ASSETS			
PROPERTY, PLANT & EQUIPMENT	2	1,339,890.13	1,551,075.64
TOTAL		1,339,890.13	1,551,075.64
CURRENT ASSETS (A) :			
ACCOUNTS RECEIVEABLES	3	379,659.90	560,806.84
ÇASH & BANK BALANCES	4	13,340,132.19	14,549,043.91
TOTAL	1	13,719,792.09	15,109,850.75
CURRENT LIABILITIES, AND PROVISIONS (B)			
ACCOUNTS PAYABLES	5	561,419.54	249,221.96
OTHER LIABILITIES .	6	744,832.37	757,665.38
PROGRAM FUND	7	12,815,183.24	14,790,246.76
SHORT TERM BORROWINGS	8	92,000.00	92,000.00
PROVISIONS	9	62,159.44	6,510.72
TOTAL		14,275,594.58	15,895,644.82
NET CURRENT ASSETS (A - B)		(555,802.49)	(785,794.07
GRAND TOTAL		784,087.62	765,281.50
CONTINGENT LIABILITIES NOTES TO THE FINANCIAL STATEMENTS	14		

Notes to the financial statements and the attached schedules form integral part of this statement.

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Ngamindra Dahal **Executive Director**

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Satya Bajracharya Admin & Finance Manager

Date: 28.08.2018 Place:Kathmandu

XM Dr. Netra Prasad Timsina Chairperson

Kamal Devkota **Program Director**

.... CA. Madhu Bir Pande For M.B. Pande & Co. **Chartered Accountants** DE & Tered

As per our attached report of even date.

SOUTHASIA INSTITUTE OF ADVANCED STUDIES (SIAS) KATHMANDU, NEPAL

INCOME STATEMENT

FOR THE YEAR ENDED 32 ASHAD, 2075 (16 JULY, 2018)

PARTICULARS	SCHEDULE	CURRENT YEAR (RS.)	PREVIOUS YEAR (RS.)
OPERATING INCOME	10	20,376,276.91	15,412,122.25
LESS: DIRECT OPERATING EXPENSES	11	17,813,432.65	12,882,595.26
GROSS PROFIT		2,562,844.26	2,529,526.99
ADD: OTHER INCOME	12	521,250.52	228,995.70
TOTAL		3,084,094.78	2,758,522.69
LESS: ADMINISTRATIVE EXPENSES	13	2,581,443.68	2,314,641.15
OPERATING PROFIT BEFORE DEPRECIATION & TAX		502,651.10	443,881.54
LESS: DEPRECIATION		421,685.60	422,577.86
NET PROFIT BEFORE TAX	in the second	80,965.50	21,303.68
LÈSS: PROVISION FOR INCOME TAX -CURRENT YEAR -UPTO PREVIOUS YEAR -DEFERRED TAX		62,159.44	6,510.72 - -
NET PROFIT /(LOSS) FOR THE YEAR		18,806.06	14,792.95
PROFIT/(LOSS) UPTO PREVIOUS YEAR		590,281.56	
PROFIT AVAILABLE FOR APPROPRIATION		609,087.62	590,281.50
APPROPRIATION			
CAPITAL RESERVE	- 1 - N		
GENERAL RESERVE		-	-
OTHER RESERVE		-	
NET PROFIT TRASNFERRED TO BALANCE SHEET		609,087.62	590,281.5

Notes to the financial statements and the attached schedules form integral part of this statement.

As per our attached report of even date.

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Ngamindra Dahal Executive Director

Satya Bajracharya

Date: 28.08.2018

Place:Kathmandu

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Admin & Finance Manager

ACC

Dr. Netra Prasad Timsina Chairperson

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Kamal Devkota Program Director

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CA. Madhu Bir Pande For M.B. Pande & Co.

SIAS ANNUAL REPORT 2017/18

SOUTHASIA INSTITUTE OF ADVANCED STUDIES (SIAS) KATHMANDU, NEPAL

CASH FLOW STATEMENT

FOR THE YEAR ENDED 32 ASHAD, 2075 (16 JULY, 2018)

PARTICULARS	CURRENT YEAR	PREVIOUS YEAR
	(RS.)	(RS.)
CASHFLOW FROM OPERATING ACTIVITIES		
1. NET PROFIT BEFORE TAX	80,965.50	21,303.68
ADJUSTMENTS		
DEPRECIATION	421,685.60	422,577.8
2. CASHFLOWS BEFORE CHANGES IN WORKING CAPITAL	502,651.10	443,881.5
. DECREASE/ (INCREASE) IN ACCOUNTS RECEIVEABLE	181,146.94	21,385.2
II. INCREASE/ (DECREASE) IN ACCOUNTS PAYABLE	312,197.58	(274,758.8
III. INCREASE/ (DECREASE) IN OTHER LIABILITIES	(12,833.10)	757,665.3
IV. INCREASE/ (DECREASE) IN SHORT TERM BORROWINGS		-
V. INCREASE/ (DECREASE) IN PROVISIONS	(6,510.72)	(20,605.2
VI. INCREASE/ (DECREASE) IN PROGRAM FUND	(1,975,063.52)	4,144,531.4
VII. TAX PAYMENTS	· · · · · · · · · · · · · · · · · · ·	(19,497.0
NET CASH INFLOW/(OUTFLOW) FROM OPERATING ACTIVITIES [A]	(998,411.72)	5,052,602.4
CASHFLOW FROM INVESTING ACTIVITIES	N .9	
ADDITION TO FIXED ASSETS	(210,500.00)	(1,180,243.1
DISPOSAL OF FIXED ASSETS		
NET CASH INFLOW/(OUTFLOW) FROM INVESTING ACTIVITIES [B]	(210,500.00)	(1,180,243.1
CASHFLOW FROM FINANCING ACTIVITIES		
EQUITY CONTRIBUTION	· · · · · ·	
LOAN RECEIVED		
LOAN PAYMENTS		
DIVIDEND PAYMENT		
NET CASH INFLOW/(OUTFLOW) FROM FINANCING ACTIVITIES [C]		
NET INCREASE/(DECREASE) IN CASH & CASH EQUIVALENT [A+B+C]	(1,208,911.72)	3,872,359.2
ADD: OPENING CASH & CASH EQUIVALENT	14,549,043.91	10,676,684.6
CLOSING CASH & CASH EQUIVALENT	13,340,132.19	14,549,043.9

Notes to the financial statements and the attached schedules form integral part of this statement.

As per our attached report of even date.

Ngamindra Dahal Executive Director

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Satya Bajracharya Admin & Finance Manager

Date: 28.08.2018 Place:Kathmandu Tr. Netra Prasad Timsina

Chairperson RSIAS

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Kamal Devkota Program Director

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CA. Madhu Bir Pande For M.B. Pande & Co.

Kathmandu

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ANNEXES

ANNEX I: EVENTS ORGANIZED BY SIAS AT DIFFERENT LEVELS

International Events

Title	Date/Venue	Partners	Event Types
Public Policy and Governance in South Asia: Towards Justice and Prosperity	28-29 Jun 2018/ Kathmandu, Nepal	NASC, Niti Foundation, I-Set Nepal, Institute of Public Enterprises (IPE), India, Think Tank Initiative/IDRC, India, BRAC Institute of Governance and Development (BIGD), Bangladesh, North South University (NSU), Bangladesh	Conference
Scientific Research Writeshop	5-8 Mar 2018/ Dhulikhel and Kathmandu, Nepal	CEDAR	Training
Mid-Term Review and Learning Synthesis Wokrhosp – CAMPS Project	11-13 Dec 2017/ Dehradoon, India	CEDAR and IDRC	Review Meeting
Nepali senior government officials visit the School of Social Sciences, UNSW Sydney	20-25 Aug 2017/ Sydney, Australia	UNSW	Exposure visit
Pre-departure briefing to MoFAGA and NASC team to visit to Australia	18 Aug 2017/ Kathmandu, Nepal	NASC, MoFAGA	Pre- departure briefing

National Events

Title	Date/Venue	Partners	Туре
Current opportunities and challenges of local governments in Nepal	29 Jul 2018/ Kathmandu		Policy dialogue
Developing an environmentally-adjusted index for multidimensional poverty	25 May 2018/ Lalitpur	University of Cambridge, University of Sheffield and University of Southampton, UN Environment World Conservation Monitoring Centre, and International Institute for Sustainability in Brazil	Policy dialogue

Title	Date/Venue	Partners	Туре
Climate change conference	4-5 Jan 2018/ Itahari	Ministry of Environment and Population, ICIMOD, Care Nepal, Practical Action, UNDP, WWF	Conference
Climate dialogue-II	17 Dec 2017/ Patan	Swedish University of Agricultural Sciences (SLU) and University of New South Wales (UNSW)	Round table discussion
Conflict and cooperation in the management of climate change	30 Oct-1 Nov 2017/ Dhulikhel		Annual meeting
Student's research result sharing workshop	27 Oct 2017/ Kathmandu		Workshop
MoU signing ceremony between sias and dhulikhel municipality	8 Nov 2017/ Dhulikhel	Dhulikhel Municipality	MoU signing ceremony
Current status of local governance in Nepal	29 Jul 2017/ Kathmandu		Policy dailouge
Data Analysis Training Workshop	17-19 Jul 2017/ Kathmandu		Training

Local Events

Title	Date/Venue	Partners	Туре
Construction of climate adaptive recharge pit (CARP)	11 Jun 2018/ Dharan	Dharan Sub Metropolitan city and Dharan water supply management board	Training
Dhulikhel Paani Chautari IV (Engaging private sector for efficient water management)	8 Feb 2018/ Dhulikhel	Dhulikhel municipality, Regional hotel association of Kavre, Dhulikhel chamber of commerce and industries and DDWUC	Training workshop
Dharan Paani Chautari IV (Ground water recharge)	13 Jan 2018/ Dharan	Dharan Sub Metropolitan city and Dharan water supply management board	Policy dialogue
Dhulikhel Paani Chautari III (Spring recharge)	19 Jun 2017/ Dhulikhel	Dhulikhel Municipality and DDWUC	Policy dialogue

ANNEX II: PARTICIPATION OF SIAS RESEARCHERS IN NATIONAL AND INTERNATIONAL FORUMS

International Forums:

Name of Workshop	Date /Venue	Participated/ presented by	Organizer
Water Initiatives South Asia (WISA) training workshop	24-26 Jul 2018/ Istanbul, Turkey	Suchita Shrestha	Imperial College London and British Council
Water and Sanitation Training	1-15 Jul 2018/ Beijing, China	Kaustuv Raj Neupane	Research center for Eco-Environmental Sciences, Chinese Academy of Sciences
International Council for Local Environmental Initiatives (ICLEI) World Congress	19-22 Jun/ Montreal, Canada	Dr. Roshan Raj Bajracharya	Local Governments for sustainability
International Conference on Climate Change Adaptation	17-22 Jun 2018/ Cape town, South Africa	Kaustuv Raj Neupane	EU, University of Capetown
Regional Science Congress 2018	29 May to 1 Jun 2018/ Goa, India	Suchita Shrestha	Regional Science Assiciation International
Regional symposium on Ecosystem Services and Poverty Alleviation in South Asia: Practical approaches to improving human wellbeing and natural resources"	13-14 Mar 2018/ ICIMOD, Kathmandu	Suchita Shrestha / Kaustuv Raj Neupane	Ecosystem Services for Poverty Alleviation (ESPA) and ICIMOD
CoVEC Kenya Field Work	11-24 Dec 2017/ Nanyuki, Kenya	Pema Norbu Lama	Swedish University of Agricultural Sciences (SLU)

National Forums:

Name of Workshop	Date /Venue	Participated/ presented by	Organizer
Political Economy Analysis (PEA) on Climate	16 Jul 2018/	Suchita Shrestha	Oxford Policy
Change and Disaster Risk Management.	Lalitpur		Management (OPM)
Open data for policy formulation, decision making and citizen government partnership to improve air quality	12 Jun 2018/ Kathmandu	Suchita Shrestha	CEN in collaboration with American embassy
Nepal National Water and Weather Week, 2018 (NNWWW-2018)	23 May	Ngamindra	The Small Earth
	2018/Lalitpur	Dahal	Nepal

Name of Workshop	Date /Venue	Participated/ presented by	Organizer
Gender, conflicts and cooperation in the context of climate action	3-4 May 2018/ Dhulikhel	Gyanu Maskey	Forest Action and NWO
Political Economy Analysis (PEA) on Climate Change and Disaster Risk Management	30 Dec 2017/ Kathmandu	Suchita Shrestha	OPM, DFID
Round table discussion on 'Local Level Governance Bill, 2017'	10 Aug 2017/ Kathmandu	Kamal Devkota	Samriddhi Foundation
Preparation of Dhulikhel's Vision Paper	5 Aug 2017/ Dhulikhel	Kamal Devkota, Kaustuv Neupane and Chandra Pandey	Dhulikhel Municipality
Evaluation in the context of sustainable development goals	25-28 Jul 2017/ Kathmandu	Suchita Shrestha	CoE Nepal

ANNEX III: PUBLICATIONS

Peer reviewed articles and book chapters

- Dawson, N. M., Mason, M., Mwayafu, D. M., Dhungana, H., Satyal, P., Fisher, J. A., Schroeder, H. (2018). Barriers to equity in REDD+: Deficiencies in national interpretation processes constrain adaptation to context. *Environmental Science and Policy*, 88(June 2017), 1–9. https://doi. org/10.1016/j.envsci.2018.06.009
- Devkota, K. (2018). Challenges of Inclusive Urbanization in the Face of Political Transition in Nepal. In J. Mugambwa & M. Katusiimeh (Eds.), *Handbook of research on Urban Governance* and Management in the Developing World (1st ed., pp. 159–171). United Sates: IGI Global. https://doi.org/10.4018/978-1-5225-4165-3.ch009
- Khatri, D.B., Marquardt, K., Pain, A. & Ojha, H. (2018). Shifting regimes of management and uses of forests: What might REDD+ implementation mean for community forestry? Evidence from Nepal. Forest Policy and Economics, 92, pp. 1-10.
- Satyal, P., Corbera, E., Dawson, N., Dhungana, H., & Maskey, G. (2018). Representation and participation in formulating Nepal's REDD+ approach, Climate Policy, DOI: 10.1080/14693062.2018.1473752.
- Pandey, C. L., & Bajracharya, R. M. (2017). Climate Adaptive Water Management Practices in Small and Midsized Cities of Nepal: Case Studies of Dharan and Dhulikhel. *Sustainability: The Journal of Record*, 10(5), 300–307. https://doi.org/10.1089/sus.2017.0008

Report and other publications

Dhungana, H., Maskey, G., and Satyal, P. (2018). Through our Eyes: Changes and Continuity in Rural Landscapes.

- Upadhyaya, R., Maskey, G., & Khatri, D. B. (2018). *Proceeding of Policy Dailouge on Developing an Environmentally- adjusted Index for Multidimesnional Poverty*. Kathmandu, Nepal. Retrieved from http://www.sias-southasia.org/wp-content/uploads/2018/08/MPI-Report-Final-1-1.pdf
- Devkota, K., & Neupane, K. R. (2017). Ecosystem Services and Urban Resilience Making Evidence Work In Rapidly Urbanizing Asia: Nepal Country Report. Assessment. Kathmandu, Nepal. Retrieved from https://www.acccrn.net/sites/default/files/publication/attach/5._ nepal_paper.pdf
- Dhungana, H., Maskey, G., Satyal, P., Corbera, E., & Tepe, T. (2017). Towards a Just REDD+: Transforming Forest Conflicts. Kathmandu, Nepal: Southasia Institute of Advanced Studies (SIAS). Retrieved from http://www.sias-southasia.org/wp-content/uploads/2017/11/POLICY-BRIEF-2017-Final-Print.pdf

Paper Title	Conference Title	Date/ Venue	Organizer	Authors
Citizen led struggle for equitable access to resources: Case of urban water management	Conference on Public Policy and Governance in South Asia: Towards Justice and Prosperity	28-29 Jun 2018, Nepal Administrative Staff College	NASC, Niti Foundation, I-Set Nepal, SIAS, IPE, TTI/IDRC, BIGD, NSU	Kaustuv Raj Neupane, Suchita Shrestha and Hemant Ojha
Climate adaptive and equitable water management practices in dharan: exploring innovations to address 'too much and too little water' in the eastern tarai	Water and Sanitation Training 2018	1 - 15 Jul 2018, Chinese Academy of Sciences	Chinese Academy of Sciences	Kaustuv Raj Neupane
Climate change, urban development, and water: policy reviews to explore the challenges and opportunities	Conference on Public Policy and Governance in South Asia: Towards Justice and Prosperity	28-29 Jun 2018, Nepal Administrative Staff College	NASC, Niti Foundation, I-Set Nepal, SIAS, IPE, TTI/IDRC, BIGD, NSU	Gyanu Maskey and Chandra Pandey
Shifting regimes of management and uses of forests what might redd+ implementation mean for community forestry? evidence from Nepal	Pollen Conference	19-22 Jun 2018		Dil Khatri, Kristina Marquardt ["] Adam Pain Hemant Ojha
City level water forums: exploring innovations to address 'too much and too little water' in dharan, fast urbanising city of Nepal	5th International Climate Change Adaptation Conference, Cape Town	20 Jun 2018		Suchita Shrestha and Kaustuv Raj Neupane
Exploring innovations to address 'too much and too little water' in the eastern tarai.": a case from dharan	Climate Change Conference Dharan	4 - 5 Jan 2018	Minsistry of Population and Environment- Nepal	Kaustuv Raj Neupane

Conference papers and posters

Paper Title	Conference Title	Date/ Venue	Organizer	Authors
The role of social movement for equitable water access in urban, case from dharan and dhulikhel of nepal	12th World Congress of the RSAI	29 May to 1 Jun 2018	Regional Science Association International (RSAI) and the Regional Science Association of India	Kaustuv Raj Neupane and Suchita Shrestha
Institutionalizing <i>Paani Chautari</i> as a knowledge platform for sustainable water supply planning and management in the himalayan cities	Nepal National Water and Weather Week	17-23 Mar 2018	Water and Energy Commission Secretariat and The Small Earth Nepal	Ngamindra Dahal

Opeds/Blogs

Title	Published in	Published Date	Authors	Weblink
वातावरणीय दृष्टिबाट स्थानीय सरकार	Sadrishya	27 Aug 2018	Dil Bahadur Khatri	http://www.sadrishya.com/ bichar/2018/08/27/21684/
स्थानीय सरकारका एक वर्षः अवलोकनमा जे देखियो	Online Khabar	17 Aug 2018	Kamal Devkota	https://www.onlinekhabar. com/2018/08/699292
Pangolins- nepal's most smuggled species	The Spot light Magazine	16 Feb 2018	Kaustuv/ Suchita	https://www.spotlightnepal. com/2018/02/16/pangolins-nepals- most-smuggled-species/
How how long will our water sources last? (Nepali version)	Nagarik Daily News-Epaper	11 Feb 2018	Kaustuv Raj Neupane	https://docs.google.com/document/d/ 1dWcE8vWkV10SYcRmRJ65HgAuJ6T8n axGMY6BSxp160M/edit#
Solution to flooding and drought problem (Nepali Version)	Nagarik News- Epaper	4 Jan 2018	Kaustuv Raj Neupane	http://nagarikplus.nagariknews. com/epaper/src/epaper. php?id=3264#page/26
Can Dhulikhel offer role model to Nepali hill towns for a sustainable water supply management?	New Spotlight Nepal Magazine	27 Oct 2017	Ngamindra/ Kamal/Suchita /Kaustuv	https://www.spotlightnepal. com/2017/10/27/can-dhulikhel- offer-role-model-nepali-hill-towns- sustainable-water-supply-management/
Evidence and urban resilience in Nepal	ACCCRN –Beta	19 Sep 2017	Kamal / Kaustuv	https://www.acccrn.net/blog/evidence- and-urban-resilience-nepal
Looming Water Crisis!	New Spot light Nepal Magazine	4 Jan 2017	Chandra Lal Pandey	https://www.spotlightnepal. com/2017/01/04/looming-water-crisis/
Reframing Nepal's planning commission	Nepali Headlines		Hemant Raj Ojha	http://nepaliheadlines.com/rethinking- planning-commission/

ANNEX IV : SAIS ACTIVITIES COVERED IN MEDIA

SN	Title	Brief about the release	Project (If any)	Release Date
1	Dhulikhel Municipality and SIAS Signed an MOU	Himal Khabar Patrika	CAMPS	8 Nov 2017
2	खानेपानी समस्या समाधानका लागि पोखरी अभियान	Himal Khabar Patrika	CAMPS	28 Nov 2018
3	रिचार्ज पिट(खाल्डो)विना घरनक्सा पास नगर्ने New Houses in Dharan Need to have recharge pit	Nagarik Daily	CAMPS	13 Jun 2018
4	आकाशे पानीलाई संचित गरौँ Conserve Rainwater for Recharge	Aujar Daily	CAMPS	15 Jan 2018
5	स्रोत रिचार्ज नगरे रित्तिन्छ Ground water will reduced if not recharged	Nagarik Daily	CAMPS	19 Sep 2017

ANNEX V: BOARD OF DIRECTORS

SN	Name	Designation
1	Netra Timsina	Chairperson
2	Chiranjibi Bhattarai	Board of Director
3	Ganapati Ojha	Board of Director
4	Kanchan Lama	Board of Director
5	Krishna Gyawali	Board of Director
6	Madhav Karki	Board of Director
7	Naya Sharma	Board of Director

ANNEX VI: LIST OF STAFF

SN	Name	Designation
1	Ankita Shrestha	Researcher
2	Anton Zhigalov	Intern
3	Chandra Lal Pandey	Senior Research Fellow
4	Deepak Thapa	Office Assistant
5	Dil Bahadur Khatri	Research Director
6	Gyanu Maskey	Researcher
7	Hari Dhungana	Senior Research Fellow
8	Kamal Devkota	Program Director
9	Kaustuv Raj Neupane	Researcher
10	Megha Bajaj	Intern
11	Ngamindra Dahal	Executive Director
12	Pema Lama	Research Associate
13	Roshan Man Bajracharya	Senior Research Fellow
14	Sanjay Khatri	Research Assistant
15	Satya Bajracharya	Admin and Finance Manager
16	Srijana Paudel	Executive Secretary
17	Suchita Shrestha	Research Officer



SOUTHASIA INSTITUTE OF ADVANCED STUDIES

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